

FILE Receipt #3

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE



In re the application of: Andrew D. Simchik *et al.*

Serial No.: 09/496,698

Filed: February 2, 2000

For: DOCUMENT PRODUCTION SYSTEM FOR  
CAPTURING WEB PAGE CONTENT

Attorney Docket No.: XXT-036CP

Group Art Unit: 2852

Examiner:

RECEIVED  
AUG - 1 2000  
TC 2800 MAIL ROOM

Assistant Commissioner for Patents  
Office of Initial Patent Examination  
Customer Service Center  
Washington, D.C. 20231

Certificate of First Class Mailing (37 CFR 1.8(a))

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, , Washington, D.C. 20231 on the date set forth below.

July 11, 2000  
Date of Signature and of Mail Deposit

By:

Anthony A. Laurenzano  
Reg. No. 38,220  
Attorney for Applicants

RECEIVED

SECOND REQUEST FOR CORRECTION OF OFFICIAL FILING RECEIPT

DEC 22 2000

Dear Sir:

Technology Center 2600

Please issue a corrected filing receipt for the above-cited application. Applicants request that the title read as follows: "**DOCUMENT PRODUCTION SYSTEM FOR CAPTURING WEB PAGE CONTENT.**" Apparently, as you will notice, the title was repeated by mistake. Applicants enclose a copy of the Official Filing Receipt received from the U.S. Patent and Trademark Office in connection with this application with the requested change noted thereon in red ink. Also enclosed is a copy of the executed

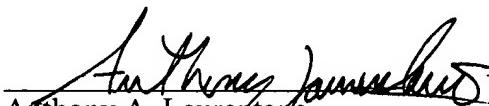
Re: U.S.S.N. 09/496

Declaration, Petition and Power of Attorney and a copy of the Application Transmittal form to substantiate this change.

Since the foregoing error on the filing receipt is a mere clerical error, no fees are required to affect the necessary correction. However, if fees are due in connection with the filing of this Request, please charge them to our Deposit Account No. 12-0080.

Respectfully submitted,

LAHIVE & COCKFIELD, LLP

  
Anthony A. Laurentano  
Registration No. 38,220  
Attorney for Applicants

28 State Street  
Boston, MA 02109  
(617) 227-7400

Date: July 11, 2000

## CORRECTED FILING RECEIPT

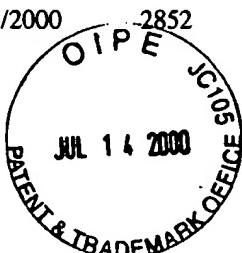


\*OC00000005207426\*

UNITED STATES DEPARTMENT OF COMMERCE  
Patent and Trademark OfficeAddress: ASSISTANT SECRETARY AND  
COMMISSIONER OF PATENT AND TRADEMARKS  
Washington, D.C. 20231

APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY.DOCKET.NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
09/496,698	02/02/2000	2852	996	xxt-036cp	5	24	6

000959  
LAHIVE & COCKFIELD  
28 STATE STREET  
BOSTON, MA 02109



COPY

Date Mailed: 06/28/2000

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the PTO processes the reply to the Notice, the PTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

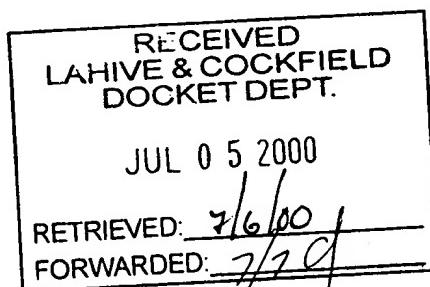
## Applicant(s)

ANDREW D. Simchik, Rochester, NY ;  
Kristinn R. Rzepkowski, Rochester, NY ;  
Thomas J. Perry, Pittsford, NY ;

## Continuing Data as Claimed by Applicant

## Foreign Applications

If Required, Foreign Filing License Granted 03/23/2000



## Title

Document production system for capturing web page content Document production system for  
capturing web page content

## Preliminary Class

399

RECEIVED  
AUG - 1 2000  
TO 2800 MAIL ROOM

Data entry by : JOHNSON, TRACEY

Team : OIPE

Date: 06/28/2000



LICENSE FOR FOREIGN FILING UNDER  
Title 35, United States Code, Section 184  
Title 37, Code of Federal Regulations, 5.11 & 5.15



Customer Number: 000959  
Attorney's Docket No. XXT-036CP

## DECLARATION AND POWER OF ATTORNEY FOR PATENT APPLICATION

COPY

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name,

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled:

### DOCUMENT PRODUCTION SYSTEM FOR CAPTURING WEB PAGE CONTENT

the specification of which

is attached hereto OR

was filed on \_\_\_\_\_ as U.S. Application Serial No. \_\_\_\_\_

I hereby state that I have reviewed and understand the contents of the above-identified specification, including the claims.

I acknowledge the duty to disclose information which is material to the patentability as defined in Title 37, Code of Federal Regulations, §1.56.

I hereby claim priority benefits under Title 35, United States Code, §119 of any foreign or U.S. Provisional application(s) for patent listed below, and have also identified below any foreign application(s) or Provisional application(s) for patent having a filing date before that of the application on which priority is claimed:

#### Prior Foreign or U.S. Provisional Application(s)

(Number)	(Country)	(Day/Month/Year Filed)
----------	-----------	------------------------

**POWER OF ATTORNEY:** As a named inventor, I hereby appoint the following registered practitioners to prosecute this application and transact all business in the Patent and Trademark Office connected therewith.

W. Hugo Liepmann	Reg. No. 20,407	David A. Lane, Jr.	Reg. No. 39,261
James E. Cockfield	Reg. No. 19,162	Catherine J. Kara	Reg. No. 41,106
Thomas V. Smurzynski	Reg. No. 24,798	Faustino A. Lichauco	Reg. No. 41,942
Ralph A. Loren	Reg. No. 29,325	Jeanne M. DiGiorgio	Reg. No. 41,710
Giulio A. DeConti, Jr.	Reg. No. 31,503	Megan E. Williams	Reg. No. 43,270
Ann Lamport Hammitt	Reg. No. 34,858	Nicholas P. Triano III	Reg. No. 36,397
Elizabeth A. Hanley	Reg. No. 33,505	Peter C. Lauro	Reg. No. 32,360
Amy E. Mandragouras	Reg. No. 36,207	Reza Mollaaghababa	Reg. No. 43,810
Anthony A. Laurentano	Reg. No. 38,220	Timothy J. Douros	Reg. No. 41,716
Jane E. Remillard	Reg. No. 38,872	John L. Welch	Reg. No. 28,129
Jeremiah Lynch	Reg. No. 17,425	DeAnn F. Smith	Reg. No. 36,683
Kevin J. Canning	Reg. No. 35,470	William D. DeVaul	Reg. No. 42,483
John E. Beck	Reg. No. 22,833	David J. Rikkers	Reg. No. 43,882
Serge Abend	Reg. No. 24,152	Mark Costello	Reg. No. 31,342
Richard B. Domingo	Reg. No. 36,784	Eugene O. Palazzo	Reg. No. 20,881
Henry Fleischer	Reg. No. 25,582	John M. Kelly	Reg. No. 33,920
		Chi Suk Kim	Reg. No. 42,728

**DECLARATION AND POWER OF ATTORNEY, continued**

Send Correspondence to Anthony A. Laurentano, Esq. at Customer Number: **000959** whose address is:

Lahive & Cockfield, LLP, 28 State Street, Boston, MA 02109

Direct Telephone Calls to: (name and telephone number)

Anthony A. Laurentano, Esq. (617) 227-7400

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

Name sole or first inventor: Andrew D. Simchik

Inventor's Signature

Date: 1/28/2000

Residence: 1790 Crittenden Road #2, Rochester, New York 14623

Citizenship

United States of America

Post Office Address:  
(Same as Above)

Name second inventor: Kristinn R. Rzepkowski

Inventor's Signature

Date: 1/28/2000

Residence: 1370 Titus Avenue, Rochester, New York 14622

Citizenship

United States of America

Post Office Address:  
(Same as Above)

Name third inventor: Thomas J. Perry

Inventor's Signature

Date: 1/28/2000

Residence: 35 Deer Creek Road, Pittsford, New York 14534

Citizenship

United States of America

Post Office Address:  
(Same as Above)

Customer No. 000959

Case Docket No. XXT-036CP

THE ASSISTANT COMMISSIONER FOR PATENTS  
 Box Patent Application  
 Washington, D.C. 20231

**COPY****"Express Mail" Mailing Label Number EL178689095US**Date of Deposit February 2, 2000

I hereby certify that this transmittal letter and the papers referred to as being enclosed therein are being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to the Assistant Commissioner for Patents, Box Patent Application, Washington, DC 20231.

Signature

Nelson Barros

Please Print Name of Person Signing



Sir:

Transmitted herewith for filing is the patent application of

Inventor(s): **Andrew D. Simchik, Kristinn R. Rzepkowski, and Thomas J. Perry**For: **DOCUMENT PRODUCTION SYSTEM FOR CAPTURING WEB PAGE CONTENT**

Enclosed are:

- This is a request for filing a  continuation-in-part  divisional application under 37 CFR 1.53(b), of pending prior application serial no. U.S. 09/450,865 filed on November 29, 1999 entitled "DOCUMENT PRODUCTION SYSTEM FOR CAPTURING WEB PAGE CONTENT"
- 10 pages of specification, 4 pages of claims, 1 page of abstract.
- 5 sheets of drawings.
- An executed Declaration, Petition and Power of Attorney form.
- An assignment of the invention to XEROX CORPORATION. A recordation form cover sheet (Form PTO 1595) is also enclosed.
- Copy of Payment of Retention Fee in Parent Case, U.S. Serial No. 09/450,865.

**RECEIVED**  
**DEC 22 2000**

The filing fee has been calculated as shown below:

(Col. 1)	(Col. 2)	
FOR:	NO. FILED	NO. EXTRA
BASIC FEE	//////////	
TOTAL CLAIMS	24 - 20	= 4
INDEP. CLAIMS	6 - 3	= 3
<input type="checkbox"/> MULTIPLE DEPENDENT CLAIMS PRESENTED		

\* If the difference in Col. 2 is less than zero,  
 enter "0" in Col. 2.

SMALL ENTITY	
RATE	FEES
//////////	\$
x 9=	\$
x 39	\$
+130	\$
TOTAL	0

OTHER THAN SMALL ENTITY	
RATE	FEES
//////////	\$ 690
x 18=	\$ 72
x 78	\$ 234
+260	\$
TOTAL	\$996.00

- Please charge **Deposit Account No. 24-0037** in the amount of **\$996.00**.  
 A duplicate copy of this sheet is enclosed.
- A check in the amount of \$ to cover the filing fee is enclosed.
- The Commissioner is hereby authorized to charge payment of the following fees associated with the communication or credit any overpayment to Deposit Account No.  
 A duplicate copy of this sheet is enclosed.
  - Any additional filing fees required under 37 C.F.R. 1.16.
  - Any patent application processing fees under 37 C.F.R. 1.17.

**RECEIVED**  
**AUG - 1 2000**  
**2800 MAIL ROOM**

The Commissioner is hereby authorized to charge payment of the following during the pendency of this application or credit any overpayment to Deposit Account No. [REDACTED]  
A duplicate copy of this sheet is enclosed.

- Any patent application processing fees under 37 C.F.R. 1.17.
- The issue fee set in 37 C.F.R. 1.18 at or before mailing of the Notice of Allowance, pursuant to 37 C.F.R. 1.31(b).
- Any filing fees under 37 C.F.R. 1.16 for presentation of extra claims.

Please charge **Deposit Account No. 24-0037** in the amount of **\$40.00** to cover the recording of the assignment.

Address all future communications (May only be completed by applicant, or attorney or agent of record) to Anthony A. Laurentano, Esq. at Customer Number: 000959 whose address is:

Lahive & Cockfield, LLP  
28 State Street  
Boston, Massachusetts 02109

Date: February 2, 2000

LAHIVE & COCKFIELD, LLP  
Attorneys at Law

By   
Anthony A. Laurentano  
Reg. No. 38,220  
28 State Street  
Boston, MA 02109  
(617) 227-7400  
Telecopier (617) 742-4214

FILE COPY



## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
 UNITED STATES PATENT AND TRADEMARK OFFICE  
 WASHINGTON, D.C. 20231  
[www.uspto.gov](http://www.uspto.gov)



Bib Data Sheet

SERIAL NUMBER 09/496,698	FILING DATE 02/02/2000 RULE	CLASS 399	GROUP ART UNIT 2852	ATTORNEY DOCKET NO. XXT-036CP
-----------------------------	-----------------------------------	--------------	------------------------	----------------------------------

**APPLICANTS**

ANDREW D. Simchik, Rochester, NY ;  
 Kristinn R. Rzepkowski, Rochester, NY ;  
 Thomas J. Perry, Pittsford, NY ;

**\*\* CONTINUING DATA \*\*\*\*\***

THIS APPLICATION IS A CIP OF 09/450,865 11/29/1999

**\*\* FOREIGN APPLICATIONS \*\*\*\*\*****IF REQUIRED, FOREIGN FILING LICENSE**

GRANTED \*\* 03/23/2000

Foreign Priority claimed	<input type="checkbox"/> yes <input type="checkbox"/> no	STATE OR COUNTRY	SHEETS DRAWING	TOTAL CLAIMS	INDEPENDENT CLAIMS
35 USC 119 (a-d) conditions met	<input type="checkbox"/> yes <input type="checkbox"/> no <input checked="" type="checkbox"/> Met after Allowance	NY	5	24	6
Verified and Acknowledged	Examiner's Signature _____ Initials _____				

**ADDRESS**

000959

**TITLE**

DOCUMENT PRODUCTION SYSTEM FOR CAPTURING WEB PAGE CONTENT

FILING FEE RECEIVED 996	FEES: Authority has been given in Paper No. _____ to charge/credit DEPOSIT ACCOUNT No. _____ for following:	<input type="checkbox"/> All Fees <input type="checkbox"/> 1.16 Fees ( Filing ) <input type="checkbox"/> 1.17 Fees ( Processing Ext. of time ) <input type="checkbox"/> 1.18 Fees ( Issue ) <input type="checkbox"/> Other _____ <input type="checkbox"/> Credit
----------------------------	---	---